S. HRG. 98-335

NOMINATION

HEARING

BEFORE THE

COMMITTEE ON LABOR AND HUMAN RESOURCES UNITED STATES SENATE

NINETY-EIGHTH CONGRESS

FIRST SESSION

ON

A. WAYNE ROBERTS, OF MASSACHUSETTS, TO BE DEPUTY UNDER SECRETARY, INTERGOVERNMENTAL AND INTERAGENCY AFFAIRS, DEPARTMENT OF EDUCATION

AUGUST 2, 1983

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NOMINATION

TUESDAY, AUGUST 2, 1983

U.S. SENATE,
COMMITTEE ON LABOR AND HUMAN RESOURCES,
Washington, D.C.

The committee met, pursuant to notice, at 9:35 a.m., in room SD430, Dirksen Senate Office Building, Senator Orrin Hatch [chairman of the committee] presiding.

Present: Senators Hatch and Stafford.

OPENING STATEMENT OF SENATOR HATCH

The Chairman. We welcome this morning Mr. Wayne Roberts, who has been nominated to be the Under Secretary of Education for Intergovernmental and Interagency Affairs.

A former businessman, Mr. Roberts is no stranger to the education community. He has taught economics and management at Johnson State College, Johnson, Vt., and until assuming the post of Acting Deputy Under Secretary, he served for a year and a half as the Secretary of Education's Regional Representative in Boston, Mass.

In this position he filled on a smaller scale many of the same responsibilities for dealing with other agencies and levels of Government which will face him if he is confirmed. He thus seems admirably qualified for the job, and I take it as a comment on his fitness that no member of the committee, to my knowledge, has expressed any reservations about his nomination.

We're very pleased to have our senior Senator from Vermont, a distinguished member of this committee and the chairman of the Subcommittee on Education, Senator Stafford, with us to introduce Mr. Roberts today.

Senator STAFFORD. Thank you very much, Mr. Chairman.

It really is a privilege for me to have this chance to introduce Wayne Roberts to this committee, and to give him my unqualified stamp of approval in the position to which he has been nominated. As you said, he's not a stranger to this committee. He's had a most interesting background starting with International Business Machines where he began a most promising career, and then turned to, I think, an even more promising career as a member of the faculty at Johnson State College, which is one of the finer State colleges in Vermont, located in a very beautiful part of our State. There he's been an assistant professor of economics and management, and if this didn't keep him busy enough, at the same time he has been the president, owner and operator, of two restaurants and

lodges in Vermont which have been associated with an industry that is dear to my heart—the winter sports skiing industry.

Wayne represents our State not only as an academic; as a former employee of International Business Machines which is the largest employer in Vermont, and represents the high tech cutting edge of our industry, but he's also been involved in our favorite winter recreational sport.

His widespread education, therefore, in many activities and his experience down here in the Department of Education, I think, uniquely qualifies him to be a senior part of that part of our Federal Government, the Department of Education, and I very much hope that this committee will speedily confirm his nomination to the Senate.

The Chairman. Thank you very much, Senator Stafford.

It stands well in your favor, Mr. Roberts, that you have Senator Stafford's unqualified endorsement. Would you care to make any statements at this point?

STATEMENT OF A. WAYNE ROBERTS, FOR CONFIRMATION AS DEPUTY UNDER SECRETARY, INTERGOVERNMENTAL AND INTERAGENCY AFFAIRS, DEPARTMENT OF EDUCATION.

Mr. ROBERTS. Mr. Chairman and members of the committee, it is a pleasure and honor to appear before this committee to request the consent and approval of the U.S. Senate for my nomination as Deputy Under Secretary for Intergovernmental and Interagency Affairs. I would like to express my sincere appreciation to President Reagan for the certitude and confidence he has entrusted in me with this nomination. I also feel privileged to have been introduced to this committee by Senator Stafford who is held in great esteem in our home State of Vermont.

I would like to take this opportunity to share with you my background, qualifications, and experiences which will assist me in managing the day-to-day functions of this office and my perceptions of the functions of this position.

I want to stress that in coordinating all of the functions and operations of this office, my most important asset is that of accessibility. My watchwords will be outreach and communication. I will support the President and Secretary Bell in all instances, but in order for them to make the most informed decisions, a fluid and accessible communication channel must exist. In my courtesy visits, I have emphasized that this is my No. 1 priority. In my previous position as the Secretary's regional representative in Boston, I took the role of the Secretary's eyes and ears for that region very seriously. I feel that I have a strong record of being not only approachable, but just as important, willing to listen to the issues and problems of individuals and organizations in all areas. I feel that both the administration and all individuals, outside groups, and governing bodies will be better off if we can openly discuss the issues.

Outreach is one of the primary responsibilities of my office. I intend to reach out to each of the State Governors, State education offices, legislatures, mayors, and other education related governing boards and to the education groups and organizations. I believe

that my involvement in small and large businesses, my membership on the Board of Directors for the Vermont State Chamber of Commerce, my assistant professorship at Johnson State College, and my most recent position as the Secretary's regional representative, have given me a diverse background to understand the problems at the school, local, State, and Federal levels and how these problems can be alleviated to strengthen our Nation's educational school system.

I believe that, if confirmed, I will be able to be an effective and compassionate manager in this office. My previous duties in the Department as the Secretary's regional representative as well as my experience as Deputy Director of White House Personnel have given me valuable experience in managing a Federal office.

In conclusion, I would like to remark on my personal view of promoting excellence in education. I am enthusiastic to be nominated to this position because it will give me a chance, if confirmed, to play an active role in helping to revitalize American education. I contend that we have the best educational system in the world. However, the facts conclude that this superiority has been declining over the last 20 years. We have the resources and ingenuity to reverse this trend and to attain the highest degree of quality education. We must work together, Republicans and Democrats, teachers and students, administrators and parents, industry and government, in order to attain this goal. You may be assured that as an educator, businessman, governmental official, and most of all, a parent of three school-age boys, that I will do everything within the realm of my office to bring excellence to our classrooms.

Thank you, Mr. Chairman. I will be happy to respond to any questions that you may have or questions from other members of the committee.

[The biographical sketch of Mr. Roberts follows:]

STATEMENT FOR COMPLETION BY PRESIDENTIAL NOMINEES

PART I: ALL THE INFORMATION IN THIS PART WILL BE MADE PUBLIC

Name: ROBERTS (LAST) A. (rthur) (FIRST) Wayne (OTHER)

Position to which nominated: Deputy Under Secretary for Intergovernmental & Interagency Affairs Date of nomination: July 13, 1983

Date of birth: 25 (DAY) 02 (MONTH) 44 (YEAR) Place of birth: Boston, Massachusetts

Marital status: Divorced Full name of spouse: Nancy Byrd Weber

Name and ages of children:
Arthur Weber Roberts 12
Morgan Wayne Roberts 11
Ethan Duval Roberts 8

Education:

Institution	Dates attended	Degrees received	Dates of degrees
Lexington Jr/Sr High School	1956-58	--	--
Lawrence Academy	1958-61	High School Diploma	1961
Babson College	1961-64	B.S. in Bus. Admin.	6/15/64
University of Mass.	1964-65	M.B.A.	6/04/67
IBM Corporation	1965-73	In-house training	

Honors and awards: List below all scholarships, fellowships, honorary degrees, military medals, honorary society memberships, and any other special recognitions for outstanding service or achievement.

NONE

Memberships: List below all memberships and offices held in professional, fraternal, business, scholarly, civic, charitable and other organizations for the last five years and any other prior memberships or offices you consider relevant.

Organization	Office held (if any)	Dates
Eagles Club		Present
North East Coalition of Educational Leaders, Inc. (NECEL)	Honorary	Resigned
Vermont State Chamber of Commerce	Board of Directors	Resigned
Johnson State College Business Advisory Committee		Resigned
Republican National Convention Delegate		Summer 1980
Vermont Governor's Board on Small Business		Resigned

Employment record: List below all positions held since college, including the title or description of job, name of employer, location of work, and dates of inclusive employment.

6/83 - Present	Acting Deputy Under Secretary for Intergovernmental & Interagency Affairs, U.S. Department of Education, Washington, D.C.
10/81 - 6/83	Secretary's Regional Representative, U.S. Department of Education, Boston, Massachusetts
5/81 - 9/81	Acting Project Manager, U.S. Synthetic Fuel Corporation, Washington, D.C.
1/81 - 5/81	Deputy Director of White House Personnel, Washington, D.C.
11/80 - 1/81	Assistant Director of Personnel for the Presidential Transition, Washington, D.C.
6/80 - 11/80	Senior Consultant; Regional Political Director for Reagan/Bush in the Northeast
1974 - 1980	Assistant Professor of Economics and Management at Johnson State College, Johnson, Vermont
1973 - 1980	President/Owner, AWR Corporation, Jeffersonville, Vermont
11/72 - 1/73	IBM Account Manager, Albany, New York
10/66 - 11/72	IBM Advisory Marketing Representative, Cincinnati, Ohio
12/65 - 9/66	IBM Financial Advisor, Government Education, Medical (GEM) Region, Washington, D.C.
6/65 - 12/65	IBM Financial Analyst, Space Guidance Center, Owego, New York

Government experience: List any advisory, consultative, honorary or other part-time service or positions with Federal, State, or local governments other than those listed above.

- Vermont Governor's Board on Small Business
- Johnson State College, Business Advisory Committee
- See employment record

Published writings: List the titles, publishers and dates of books, articles, reports or other published materials you have written.

NONE

Political affiliations and activities: List all memberships and offices held in or financial contributions and services rendered to all political parties or election committees during the last five years.

Offices Held:

Executive Director of Reagan for President in Vermont (approx. contributi of $100 in 1980)

Regional Political Director for Reagan/Bush in the Northeast (approx. $100 in 1980)

Memberships:

Republican Town Committee (approx. $500 between 1978-1983

State Republican Party (approx. $500 between 1978-1983

Future employment relationships:

1. Indicate whether you will sever all connections with your present employer, business firm, association or organization if you are confirmed by the Senate.

I have already severed all such connections.

2. State whether you have any plans after completing government service to resume employment, affiliation or practice with your previous employer, business firm, association or organization.

NO

3. Has a commitment been made to you for employment after you leave Federal service?

NO

4. Do you intend to serve the full term for which you have been appointed or until the next Presidential election, whichever is applicable?

YES

Potential conflicts of interest:

1. Describe any financial arrangements, deferred compensation agreements or other continuing financial, business or professional dealings with business associates, clients or customers who will be affected by policies which you will influence in the position to which you have been nominated.

NONE

2. List any investments, obligations, liabilities, or other financial relationships which constitute potential conflicts of interest with the position to which you have been nominated.

Holding computer company stock -- which if I am involved in a decision at the Department of Education on purchasing computer equipment, I will abstain.

3. Describe any business relationship, dealing or financial transaction which you have had during the last five years whether for yourself, on behalf of a client, or acting as an agent, that constitutes a potential conflict of interest with the position to which you have been nominated.

NONE

4. List any lobbying activity during the past 10 years in which you have engaged for the purpose of directly or indirectly influencing the passage, defeat or modification of any Federal legislation or of affecting the administration and execution of Federal law or policy.

NONE

5. Explain how you will resolve any potential conflict of interest that may be disclosed by your responses to the above items.

See answer to question 2.

The CHAIRMAN. Thank you, Mr. Roberts.

Senator Stafford?

Senator STAFFORD. I can't help but comment at this point that Johnson College seems to be doing awfully well down here because Ed Elmendorf, who was the president of Johnson College, is already a senior official in the Department of Education. This, assuming Wayne is confirmed in his position, would make two of Johnson College's most distinguished faculty members of the Department of Education here in Washington. I think that would be good for the country, as a matter of fact.

The CHAIRMAN. Well, I have to admit it doesn't hurt to have the chairman of the subcommittee on your side, Wayne, what do you review as your role as the Deputy Under Secretary?

Mr. ROBERTS. As I said in my statement, Mr. Chairman, I want to be the eyes and the ears of the Secretary. I want to work on communication and outreach. On the intergovernmental side, which would not only be going out and explaining our policies but also listening to the concerns of the State and local governments; the parents, teachers, special interest groups, principals, superintendents, commissioners, and the educational associations.

On the interagency side, I have the responsibility to coordinate the educational programs in other departments and agencies.

The CHAIRMAN. You recently served as the Secretary of Education's regional representative for region I. Can you tell us how this experience will be helpful to you as Deputy Under Secretary for Intergovernmental and Interagency Affairs?

Mr. ROBERTS. I feel with the six States I was responsible for in New England—on the interagency side—I dealt with the Federal Regional Council and the Boston Federal Executive Board, which is a microcosm of the job that I would have here.

On the intergovernmental side, I dealt with the State and local governments, the educational associations, commissioners, parents, teachers, and principals. In the same aspect I coordinated and explained our views, but more importantly relayed their views to the Secretary of Education. Therefore, I would be taking those six States and basically expand them to the other States of our Nation.

The CHAIRMAN. Thank you. Mr. Roberts, we are going to try and poll you out this morning. I'm asking the counsel and committee to immediately get to work and poll you out so that we can have you approved, hopefully, before we go on congressional recess.

We appreciate your willingness to serve. I think it's great for you to give this kind of time and effort to the Administration, and we wish you the very best as you serve in this very, very important position in our government today.

Thank you.

Mr. ROBERTS. Thank you.

The CHAIRMAN. It's nice to have you here.

[Whereupon, at 9:47 a.m. the hearing was adjourned.]

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